Terms and Conditions of Grants

INSTITUTIONAL GRANTS (ONLY) - For the purposes of this Notice of Award, the VP research, or equivalent, is considered the representative of the institutional grant holder and is responsible for ensuring that the terms and conditions of this award are upheld and fulfilled.

The VP research or equivalent will heretofore be referred to as "you" on the institution's behalf.

By drawing on the funding awarded by SSHRC, and/or participating in the activities it supports, you affirm that you have read, understood and agreed to the following policies, responsibilities and obligations, and as amended from time to time by SSHRC.

- 1. a. The Tri-Agency Framework: Responsible Conduct of Research
 - b. The Tri-Council Policy Statement: Ethical Conduct for Research Involving Humans
 - c. The Tri-Agency Guide on Financial Administration
 - d. SSHRC's Intellectual Property and Copyright Policy
 - e. The relevant SSHRC funding opportunity description on SSHRC's website
 - f. The Tri-Agency Open Access Policy on Publications

You must ensure you can comply with all these terms and conditions before you use the funding.

If you have any concerns about your ability to comply with the terms and conditions of the award set out in this document, at any time throughout the life of the award, you must contact your institution's Research Grant Officer or the appropriate institutional official as well as SSHRC at the email address provided in your result letter immediately.

You must inform SSHRC immediately and in writing if there are any substantive changes to the individuals or institution (payee, and where relevant: co-director(s), co-investigator(s), collaborator(s) or partner(s)) involved in your funded research or research-related activity.

For **Partnership Grants**, **Partnership Development Grants** and **Partnership Engage Grants**, SSHRC requires projects to maintain formal partnerships throughout the life of the grant. Note for **Partnership Grants**: the release of funding installments after the mid-point of the grant is contingent upon a successful Mid-Term Review.

2. Disclosure of information related to your award

You will ensure that individuals who are named in any document(s) related to your award have agreed to be included and to disclose their personal information in the document(s).

You consent to the sharing among the three federal granting agencies (Canadian Institutes of Health Research (CIHR), Natural Sciences and Engineering Research Council (NSERC) and SSHRC) and with any academic institution to which you are, or may become, affiliated, of any and all information, including personal information, in any way related to the administration of the award.

3. Eligibility

In the event of any change in your eligibility status, you will inform SSHRC staff responsible for your funding opportunity and your institutional official immediately.

If there is a failure to demonstrate the continued eligibility to hold the award or need for funds (as applicable), the agency reserves the right to terminate the award, or require upon demand that the award holder repay all or part of the award together with interest from the date of the first payment.

You confirm that you are not currently ineligible to apply for and/or hold funds from CIHR, NSERC, SSHRC or any other research or research funding organization worldwide for reasons of breach of policies on responsible conduct of research, such as ethics, integrity or financial management policies.

4. If you are a current or former public servant or public office holder to whom the <u>Conflict of Interest Act</u>, the <u>Directive on</u> <u>Conflict of Interest</u>, or the <u>Values and Ethics Code for the Public Sector</u> applies, you will not derive direct benefit from the





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award unless the provision or receipt of such benefits is in compliance with such legislation and codes.

5. Impact Assessment Act

If your proposed research (or research related activities) is deemed to constitute a "designated project" as defined in the <u>Impact Assessment Act</u>, you are required to submit an Impact Statement to the Impact Assessment Agency and keep SSHRC apprised of the Notice of Intent and Notice of Decision of the Impact Assessment. No grant funds will be released until SSHRC receives written confirmation from the Impact Assessment Agency that no Impact Assessment is needed or that a decision statement has been issued which confirms that there will be no significant adverse environmental effects or that Cabinet has justified the effects under the circumstances.

- 6. If you fail to comply with any of the above, you may be subject to the process and recourse outlined in the <u>Tri-Agency</u> <u>Framework: Responsible Conduct of Research</u>.
- 7. Disclosure of Personal Information in the event of policy breach

At the time of application, you agreed that, in case of a serious breach of Agency policy (as defined in the Tri-Agency Framework: Responsible Conduct of Research) the Agency may publicly disclose any information relevant to the breach that is in the public interest, including your name, the nature of the breach, the institution where you were enrolled at the time of the breach, your current institution, and the recourse imposed against you. If you do not agree to the disclosure of your personal information, you cannot accept this award. By accepting award funds or by participating in the activities supported by this award, you confirm this consent.

8. Modern Treaties

SSHRC funding recipients must be aware of and understand obligations pursuant to all modern and historic treaties in effect. Where applicable, SSHRC funded research and activities must be conducted in a manner that respects and complies with <u>modern treaty provisions</u> and the rights therein.

- 9. In addition, you understand that the following laws apply to the information SSHRC collects, uses, discloses and disposes of from and about you:
 - the <u>Access to Information Act</u>; and
 - the Privacy Act.





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